

041404

14230 U.S. PTO

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

111a37CON2

First Inventor

Kowalski

Title Method and Apparatus for Forwarding a Caller Identification for a Credit Card or Calling Card Call to an Automatic Number Identification System of a Telephone Network

Express Mail Label No.

ER 857 386463US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 12]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]  
Formal
5. Oath or Declaration [Total Sheets 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS Citations
13. ☒ Statement (IDS)/PTO-1449
14. ☒ Preliminary Amendment (2)
15. ☐ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
16. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
17. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/928,313

Prior application information:

Examiner

Barry W. Taylor

Art Unit:

2643

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number:  OR ☒ Correspondence address below

Name Mr. S. H. Dworketsky  
Address AT&T Corp  
Room 2A-207, One AT&T Way  
City Bedminster State NJ Zip Code   
Country United States of America Telephone  Fax

Name (Print/Type) Wendy W. Koba Registration No. (Attorney/Agent) 30509  
Signature Wendy W. Koba Date 4/14/04

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

16834 U.S. PTO

10/823921

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14280 U.S. PTO  
041404

PTO/SB/17 (10-03)

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Kowalski
Examiner Name	
Art Unit	
Attorney Docket No.	111237CON 2

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number:   
Deposit Account Name:   
The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1061	130	2061	65	Surcharge - late filing fee or oath	
1062	50	2062	25	Surcharge - late provisional filing fee or cover sheet	
1063	130	2063	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1261	110	2261	55	Extension for reply within first month	
1262	420	2262	210	Extension for reply within second month	
1263	960	2263	475	Extension for reply within third month	
1264	1,480	2264	740	Extension for reply within fourth month	
1266	2,010	2266	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1601	1,330	2501	665	Utility issue fee (or reissue)	
1602	480	2502	240	Design issue fee	
1603	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 770.00

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 7  
Independent Claims: 2  
Multiple Dependent Claims: 0

Extra Claims Fee from below: 0

Fee Paid: 0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, If not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0

\*or number previously paid, if greater, For Reissues, see above

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Wendy W. Koba	Registration No. (Attorney/Agent)	30509	Telephone	610-346-7112
Signature	Wendy W. Koba	Date	4/14/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EXPRESS MAIL  
CERTIFICATE OF MAILING

Patent Application for:

Applicants: Thaddeus Julius Kowalski

Atty. No: 111237CON2

Title: Method and Apparatus for Forwarding a Caller Identification for a Credit Card or Calling Card Call to an Automatic Number Identification System in a Telephone Network

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL in an envelope addressed to:

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

On April 14, 2004

EXPRESS MAIL TRACKING NO. ER 857386963US

Type or Print name of person signing this certificate: Wendy W. Koba, Esq.

Signature: Wendy W. Koba

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Prior Application: KOWALSKI 111237CON1  
Serial No.: 091928, 313  
Filing Date: August 14, 2001  
Group Art Unit: 2643  
Examiner: Barry W. Taylor  
Status: Allowed

Case KOWALSKI 111237CON2

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

CONTINUATION/DIVISIONAL APPLICATION UNDER 37 CFR § 1.53(b)

SIR:

This is a request for filing a <sup>a</sup> continuation <sup>a</sup> divisional application under 37 CFR § 1.53(b) of prior Application Serial No. 091928, 313, filed on August 14, 2001 entitled Method and Apparatus for Forwarding a Caller Identification for a Credit Card or Calling Card Call to an Automatic Number Identification System of a Telephone Network.  
Enclosed are the following papers relating to the above-identified application:

1. <sup>a</sup> Specification (Total Pages: 12)
2. <sup>a</sup> Drawings (Total Sheets: 2)
3. <sup>a</sup> Copy of "Declaration and Power of Attorney" from prior application (Total Pages: 2)
- ☒ <sup>a</sup> Newly executed "Declaration and Power of Attorney" (if **adding** inventors) (Total Pages:     )
- ☒ <sup>a</sup> Newly executed "Assignment and Agreement" (if **adding** inventors) (Total Pages:     )
- ☒ <sup>a</sup> Incorporation By Reference

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☒ <sup>a</sup> **DELETION OF INVENTOR(S)**

Signed statement attached (under 37 CFR § 1.63(d)(2)) deleting inventor(s) named in the prior application

8. <sup>a</sup> Information Disclosure Statement

☒ <sup>a</sup> **Copy** of "Extension of Time"

10. <sup>a</sup> Other:

Preliminary Amendment dated August 14, 2001  
Associate Power of Attorney

11. <sup>a</sup> Cancel the following original claims in the prior application before calculating the filing fee: 1-24

CLAIMS AS FILED IN THE PRIOR APPLICATION, LESS ANY CLAIMS CANCELLED AT No. 11 ABOVE				
	NO. FILED	NO. EXTRA	RATE	CALCULATIONS
Total Claims	7 -20 =	0	x \$18 =	0
Independent Claims	2 - 3 =	0	x \$86 =	0
Multiple Dependent Claims(s), if applicable			+ \$290 =	0
Basic Fee				\$770
TOTAL FEE				770.00

12. <sup>a</sup> Amend the specification by inserting before the first line this sentence:

This is a <sup>a</sup> continuation <sup>a</sup> divisional of Application Serial No. 091928,313, filed on August 14, 2001

13. <sup>a</sup> Preliminary Amendment

14. Amendment Fee:

☒ <sup>a</sup> No additional fee is required.

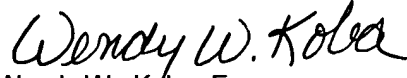
<sup>a</sup> An additional amendment fee is calculated as follows:

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid For (from above)	Present Extra	Rate	Additional Fee
Total Claims for Fee Purposes	7	minus	24	0	x \$18	0
Independent Claims	2	minus	7	0	x 86	0
Multiple Dependent Claim(s) first presented with this amendment, if applicable.					+ \$290	0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:						

The Assistant Commissioner for Patents is hereby authorized to treat any concurrent or future reply, requiring a petition for extension of time under 37 CFR § 1.136 for its timely submission, as incorporating a petition for extension of time for the appropriate length of time if not submitted with the reply.

Please address all correspondence to **Wendy W. Koba, Esq.** PO Box 556, Springtown, PA 18081. Telephone calls should be made to me at **610-346-7112**.

Respectfully,

A handwritten signature in cursive script that reads "Wendy W. Koba".

Wendy W. Koba, Esq.  
Attorney for Applicant(s), Reg. No.30509

Date: April 14, 2004